

**HAND  
DELIVERED**

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 12  
For use by Members, officers, and employees

*2010 TERMINATION*

Joseph A. Sestak, Jr.

610-731-4010

(Full Name)

(Daytime Telephone)

**Filer  
Status**

☒ Member of the U.S.  
House of Representatives

State: PA  
District: 07

☐ Officer Or  
Employee

Employing Office:

**Report  
Type**

☐ Annual (May 15)

☐ Amendment

☒ Termination

Termination Date:

1/2/2011

A \$200 penalty shall  
be assessed against  
anyone who files  
more than 30 days  
late.

*FM 2011 JAN 25 11:3:09*  
(Office Use Only)

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name Joseph A. Sestak, Jr.

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Institute for Defense Analyses; Alexandria, VA	Spouse Salary	N/A

**SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

Name Joseph A. Sestak, Jr.

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Real Time with Bill Maher	Appearance	November 12, 2010	\$825

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph A. Sestak, Jr.

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BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
<b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.  Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	<b>Year-End Value of Asset</b> at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>Type of Income</b> Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	<b>Amount of Income</b> For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	<b>Transaction</b> Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Adamis Pharmaceuticals Corp, Common Stock	\$1 - \$1,000	None	NONE	
Airtan Holdings, Inc, Common Stock	\$15,001 - \$50,000	None	NONE	
Amazon.Com, Common Stock	\$15,001 - \$50,000	None	NONE	
American Airlines, Common Stock	\$1 - \$1,000	None	NONE	
Ameritrade Money Market	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
Amgen Inc., Common Stock	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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AOL Inc, Common (Spun off from Time Warner Inc)	\$1 - \$1,000	None	NONE	
Ariba Inc., Common Stock	\$1,001 - \$15,000	None	NONE	
Bank of America Checking Account	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
Bank of America Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Celera Corp (Formerly Applera Corp-Celera Genomic)	\$1,001 - \$15,000	None	NONE	
Celldex Therapeutics, Inc (Shares received thru merger with CuraGen Corporation)	\$1 - \$1,000	None	NONE	

Checkpoint Systems, Inc., Common Stock	\$15,001 - \$50,000	None	NONE	
Cisco Systems, Common Stock	\$1,001 - \$15,000	None	NONE	
Citigroup Inc. Common Stock	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
Corning, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
DaVita Inc., Common Stock	\$15,001 - \$50,000	None	NONE	
E-Trade Bank, Complete Savings Account	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
E-Trade Securities, Cash Account, Trust Money Market	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
EMC Corp, Common Stock	\$1,001 - \$15,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Exxon Corp., Common Stock	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
F5Networks, Inc. Common Stock	\$15,001 - \$50,000	None	NONE	
Home Depot, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Honda Motor Co., Common Stock	\$1,001 - \$15,000	DIVIDENDS	NONE	
Hovnanian Enterprise Inc., Common Stock	\$1,001 - \$15,000	None	NONE	
Internet Capital Group, Inc., Common Stock	\$1 - \$1,000	None	NONE	
JDS Uniphase, Common Stock	\$1,001 - \$15,000	None	NONE	
Kimberly Clark, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Kodak, Common Stock	\$1 - \$1,000	None	NONE	
NASDAQ, 100 Trust	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Navy Federal Credit Union Money Market and Savings Account	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	
Navy Federal Credit Union, IRA Share Certificate/ Transitional IRA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Nokia Corporation, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Nuance Communications (acquired ScanSoft and Speechworks Int'l)	\$1,001 - \$15,000	None	NONE	
Pentagon Federal Credit Union, Savings Account, and CD's	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
Pfizer, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Phillips Petroleum, Common Stock	\$1,001 - \$15,000	None	NONE	
Putnam Growth And Income Funds, IRA Mutual Funds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Putnam Growth and Income Mutual Funds	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Red Hat, Common Stock	\$1,001 - \$15,000	None	NONE	
Sun Microsystems Inc., Common Stock (JAVA)	\$1 - \$1,000	None	NONE	
Sunrise Assisted Living, Inc. Common Stock	\$1,001 - \$15,000	None	NONE	
Talk Visual Corp	\$1 - \$1,000	None	NONE	
Thomas & Betts Corporation, Common Stock	\$1,001 - \$15,000	None	NONE	
TIAA - CREF CREF Bond Market	\$50,001 - \$100,000	None	NONE	P
TIAA - CREF Real Estate	\$15,001 - \$50,000	None	NONE	P
TIAA-CREF - CREF Growth Variable Annuity	\$1 - \$1,000	None	NONE	S(part)

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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TIAA-CREF - CREF Stock Variable Annuity	\$100,000 - 250,000	None	NONE	S(part)
TIAA-CREF - TIAA Traditional Fixed Income Annuity	\$100,001 - \$250,000	None	NONE	S(part)
TIAA-CREF CREF Equity Index	\$1,001 - \$15,000	None	NONE	P
TIAA-CREF CREF Inflation-Linked Bond	\$15,001 - \$50,000	None	NONE	P
TIAA-CREF CREF Social Choice	\$1 - \$1,000	None	NONE	P
TIAA-CREF Equity Fund - CREF Global Equity Variable Annuity	\$1,001 - \$15,000	None	NONE	P

TIAA-CREF Growth & Income Retirement	\$50,001 - \$100,000	None	NONE	P
TIAA-CREF Intl Equity Index - Retirement	\$100,001 - 250,000	None	NONE	P
TIAA-CREF Lg Cap Val - Retirement	\$50,001 - \$100,000	None	NONE	P
TIAA-CREF Mid-Cap Val - Retirement	\$50,001 - \$100,000	None	NONE	P
TIAA-CREF Real Estate Secs - Retirement	\$15,001 - \$50,000	None	NONE	P
TIAA-CREF Small-Cap Val - Retirement	\$50,001 - \$100,000	None	NONE	P
TIAA-CREF Social Change Equity Retirement	\$1,001 - \$15,000	None	NONE	P
Time Warner Cable, Common (Spun off from Time Warner Inc.)	\$1 - \$1,000	None	NONE	



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Time Warner Inc, Common (spun off TWCable and AOL)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Toyota Motor Corp, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
U.S. Savings Bond, CRZ	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
USAA Tax Exempt, Money Market Funds	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Walmart, Common Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Xerox Corp., Common Stock	\$1,001 - \$15,000	None	NONE	

# SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TIAA - CREF CREF Bond Market	P	N/A	01-22-10	\$50,001 - \$100,000
	TIAA - CREF Real Estate	P	N/A	01-22-10	\$15,001 - \$50,000
	TIAA Traditional Fixed Income Annuity	S(part)	No	01-22-10	\$50,001 - \$100,000
	TIAA-CREF CREF Equity Index	P	N/A	01-22-10	\$1,001 - \$15,000
	TIAA-CREF CREF Global Equities	S(part)	No	01-22-10	\$50,001 - \$100,000
	TIAA-CREF CREF Inflation-Linked Bond	P	N/A	01-22-10	\$15,001 - \$50,000
	TIAA-CREF CREF Stock Variable Annuity	S(part)	No	01-22-10	\$250,001 - \$500,000
	TIAA-CREF Growth & Income Retirement	P	N/A	01-22-10	\$50,001 - \$100,000
	TIAA-CREF Growth Variable Annuity	S(part)	No	01-22-10	\$50,001 - \$100,000
	TIAA-CREF Intl Equity Index - Retirement	P	N/A	01-22-10	\$50,001 - \$100,000
	TIAA-CREF Lg Cap Val- Retirement	P	N/A	01-22-10	\$15,001 - \$50,000

# **SCHEDULE IV - TRANSACTIONS**

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	TIAA-CREF Mid-Cap Val - Retirement	P	N/A	01-22-10	\$50,001 - \$100,000
	TIAA-CREF Real Estate Secs - Retirement	P	N/A	01-22-10	\$15,001 - \$50,000
	TIAA-CREF Small-Cap Val-Retirement	P	N/A	01-22-10	\$15,001 - \$50,000
	TIAA-CREF Social Change Equity Retirement	P	N/A	01-22-10	\$1,001 - \$15,000

# **SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Maheer Live, Inc. (Real Time with Bill Maher)	Nov 12-13	Philadelphia, PA - Los Angeles, CA and Return	Y	Y	N	None